

12-10-04

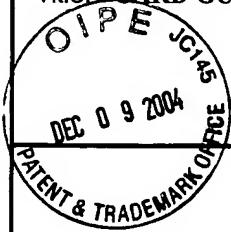
IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 15436.122.1

In Re Application Of: **Donald A. Ice**

| Serial No. | Filing Date | Examiner | Group Art Unit |
|--|------------------|----------|----------------|
| 10/698,329 | October 31, 2003 | Unknown | 2831 |
| Title: CARD GUIDE SYSTEMS AND DEVICES | | | |



Address to:
Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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Docket No.
15436.122.1

In Re Application: Donald A. Ice

Serial No.

10/698,329

Filing Date

October 31, 2003

Examiner

Unknown

Group Art Unit

2831



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.

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Credit any overpayment.

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(Date)

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.



Signature

Dated: December 9, 2004

R. BURNS ISRAELSEN

Reg. No. 42,685

Customer No. 022913

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Donald A. Ice

Docket No.

15436.122.1

Serial No.
10/698,329Filing Date
October 31, 2003Examiner
UnknownGroup Art Unit
2831

Invention: CARD GUIDE SYSTEMS AND DEVICES



I hereby certify that this Information Disclosure Stmt; PTO Form 1449; two references; transmittal; postcard
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on December 9, 2004
(Date)**Kristine Blust**

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(Signature of Person Mailing Correspondence)

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PATENT APPLICATION
Docket No: 15436.122.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------|--------------------------------|------------|
| In re application of |) | |
| |) | |
| Donald A. Ice |) | |
| |) | |
| Serial No.: | 10/698,329 |) Art Unit |
| | |) 2831 |
| Confirmation No.: | 9808 |) |
| | |) |
| Filed: | October 31, 2003 |) |
| | |) |
| For: | CARD GUIDE SYSTEMS AND DEVICES |) |
| | |) |
| Examiner: | Unknown |) |

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED December 9, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913
Telephone: (801) 533-9800

Applicant: Donald A. Ice
 Serial No.: 10/698,329
 Filing Date: October 31, 2003

Att'y Docket No.: 15436.122.1
 Group: 2831

CARD GUIDE SYSTEMS AND DEVICES



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Related U.S. Patent Applications

| <u>Inventor(s)</u> | <u>Application Number</u> | <u>Filing Date</u> |
|--------------------|---------------------------|--------------------|
| Ice | 10/639,064 | August 12, 2003 |
| Ice | 10/638,982 | August 12, 2003 |
| Ice | 10/639,211 | August 12, 2003 |
| Ice | 10/772,924 | February 5, 2004 |

Related U.S. Patent Publications

| <u>Inventor(s)</u> | <u>Publication Number</u> | <u>Publication Date</u> |
|--------------------|---------------------------|-------------------------|
| Ice | 2004-0037054-A1 | February 26, 2004 |

U.S. Patent Documents

| <u>Examiner</u> <u>Initial*</u> | <u>Patent</u> <u>Number</u> | <u>Issue</u> <u>Date</u> | <u>Name</u> | <u>Filing</u> <u>Date</u> |
|------------------------------------|--------------------------------|-----------------------------|-------------------|------------------------------|
| _____ A1. | 6,580,616 | 06/17/03 | Greenside, et al. | 10/16/01 |
| _____ A2. | 6,466,449 | 10/15/02 | Sheen, et al. | 08/01/01 |
| _____ A3. | 6,385,053 | 05/07/02 | Parizi, et al. | 02/26/99 |

Other Documents (including author, title, pertinent pages, etc.)

Examiner
Initial*

_____ 1 Documentation entitled "Self-Clinching Cable Tie-Mount", copyright 2001 by PEM Fastening Systems, a PennEngineering company

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Donald A. Ice
Serial No.: 10/698,329
Filing Date: October 31, 2003
For: CARD GUIDE SYSTEMS AND DEVICES

Att'y Docket No.: 15436.122.1
Group: 2831

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KJB0000004893V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
